**WORKPLACE VIOLENCE PREVENTION PLAN**

**Instructions**

The following sample workplace violence prevention plan is provided to assist you with the preparation and implementation of an effective workplace violence prevention plan in compliance with SB 553.

You will need to provide information in several areas within the plan. The information needed will be indicated by **BLUE TEXT**. Other areas of the plan may need to be modified or eliminated depending on your organization.

**Name of Entity**

**Workplace Violence Prevention Plan**

**Insert Date**

**TABLE OF CONTENTS**

[Policy 1](#_Toc151028936)

[Prohibited Acts 1](#_Toc151028937)

[Responsibility and Authority 2](#_Toc151028938)

[Compliance 3](#_Toc151028939)

[Communication and Training 3](#_Toc151028940)

[Procedures 5](#_Toc151028941)

[Hazard Assessment 5](#_Toc151028942)

[Hazard Correction 6](#_Toc151028943)

[Post Incident Response and Investigation 6](#_Toc151028944)

[Recordkeeping 7](#_Toc151028945)

[Annual Review 7](#_Toc151028946)

Appendices

1. Workplace Violent Incident Log
2. Workplace Violence Prevention Environmental Hazard Assessment & Control Checklist

# Policy

INSERT NAME OF ENTITY is committed to providing a work environment that is free of disruptive, threatening, or violent behavior involving any employee, appointed or elected official, volunteer, contractor, client, and/or visitor. Our policy is to establish, implement, and maintain an effective plan as required by [SB 553](https://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=202320240SB553).The regulation requires us to establish, implement, and maintain, at all times in all our facilities, a workplace violence prevention plan for purposes of protecting employees and other personnel from aggressive and violent behavior at the workplace.

Our Workplace Violence Prevention (WVP) plan is available upon request for examination and copying to our employees, their representatives, and the Chief of Cal/OSHA or his or her designee.

# Prohibited Acts

INSERT NAME OF ENTITY will not ignore, condone, or tolerate *threats of violence* or *workplace violence* by any employee, appointed or elected official, volunteer, contractor, client, or visitor.

* *Threats of violence* include both verbal and non-verbal conduct that causes a person to fear for his or her safety because there is a reasonable possibility he or she might be physically injured and that serves no legitimate work-related purpose.
* *Workplace violence* means any act of violence or threat of violence that occurs at the work site. The term workplace violence shall not include lawful acts of self-defense or defense of others. Workplace violence includes the following:
* The threat or use of physical force against an employee that results in, or has a high likelihood of resulting in, injury, psychological trauma, or stress, regardless of whether the employee sustains an injury
* An incident involving the threat or use of a firearm or other dangerous weapon, including the use of common objects as weapons, regardless of whether or not the employee sustains an injury

*Workplace violence* can be categorized into four types:

**Type 1**: Workplace violence committed by a person who has no legitimate business at the work site - includes violent acts by anyone who enters the workplace with the intent to commit a crime

**Type 2**: Workplace violence directed at employees by customers, clients, patients, students, inmates, or visitors

**Type 3**: Workplace violence against an employee by a present or former employee, supervisor, or manager

**Type 4**: Workplace violence committed in the workplace by someone who does not work there but has or is known to have had a personal relationship with an employee

In addition, INSERT NAME OF ENTITY prohibits all *dangerous weapons* not used for fire suppression, accident and incident response, emergency medical services, the service of law enforcement, or security duties on all INSERT NAME OF ENTITY property. Any employee or appointed or elected official in possession of prohibited dangerous weapons on INSERT NAME OF ENTITY property is in violation of this policy and may be subject to disciplinary action up to and including dismissal. Any volunteer, contractor, client, or visitor in possession of prohibited dangerous weapons will be banned from the premises. *Dangerous weapons* include any instrument capable of inflicting death or serious bodily injury.

# Responsibility and Authority

**Workplace Violence Prevention Plan Administrator**

The INSERT TITLE, or an assigned designee, is the designated WVP Plan Administrator (Administrator) and has the authority and responsibility for developing, implementing, and maintaining this plan and conducting or overseeing any investigations of workplace violence reports. The INSERT TITLE will also be able to answer employee questions concerning this plan.

The INSERT TITLE, or an assigned designee, shall solicit feedback and input from employees and their authorized representatives in developing and implementing the WVP plan. Active involvement of employees could include, but is not limited to, their participation in identifying, evaluating, and correcting workplace violence hazards; in designing and implementing training; and in reporting and investigating workplace violence incidents.

The INSERT TITLE, or an assigned designee, shall coordinate implementation of the workplace violence prevention plan with other employers (ex. contracted security staff and other employers on site), when applicable, to ensure those employers and their employees understand their respective roles as provided in the plan. These other employers and their staff shall be provided with training on INSERT NAME OF ENTITY’S WPV plan.

**Managers and Supervisors**

Responsibilities include:

* Implementing the plan in their work areas;
* Providing input to the Administrator regarding the plan;
* Participating in investigations of workplace violence reports; and
* Answering employee questions concerning this plan.

**Employees**

Responsibilities include:

* Complying with the plan;
* Maintaining a violence-free work environment;
* Attending all training;
* Following all directives, policies, and procedures; and
* Reporting suspicious persons in the area and alerting the proper authorities when necessary.

# Compliance

The Administrator is responsible for ensuring the plan is clearly communicated and understood by all employees. The following techniques are used to ensure all employees understand and comply with the plan:

* Informing all employees of the plan during new employee safety orientation training and ongoing workplace violence prevention training;
* Ensuring *all* employees, including managers, and supervisors receive training on this plan;
* Providing comprehensive workplace violence prevention training to managers and supervisors concerning their roles and responsibilities for plan implementation;
* Evaluating employees to ensure their compliance with the plan;
* Disciplining employees, appointed or elected officials, and volunteers who engage in threats of violence behaviors up to and including dismissal; and
* Ensuring training of this plan is conducted on a INSERT FREQUENCY basis.

# Communication and Training

Managers and supervisors are responsible for communicating with employees about workplace violence in a form readily understandable by all employees.

Employees are encouraged to inform their supervisors about any threats of violence or workplace violence. Employees may use the Workplace Violent Incident Log (Appendix A) to assist in their reporting of incidents. No employee will be disciplined for reporting any threats of violence or workplace violence.

After the employee has reported their concerns about any threats of violence or workplace violence to their supervisor, the supervisor will report this information to the Administrator who will investigate the incident. The Administrator will then inform the employee of the results of their investigation and any corrective actions to be taken as part of the INSERT NAME OF ENTITY’S responsibility in complying with hazard correction measures outlined in the WVP plan.

Any employee who believes he or she has the potential of violent behavior is encouraged to use INSERT NAME OF ENTITY’s confidential Employee Assistance Program:

INSERT NAME OF EAP

Main Phone Number: INSERT INFORMATION Fax: INSERT INFORMATION

Website: INSERT INFORMATION

Contact: INSERT INFORMATION

Employee training on workplace violence will include:

* This plan;
* Workplace violence risks that employees may encounter in their jobs;
* How to recognize the potential for violence and escalating behavior;
* Strategies to de-escalate behaviors and to avoid physical harm;
* INSERT NAME OF ENTITY alerts, alarms, or systems that are in place to warn of emergencies;
* How to report incidents to law enforcement; and
* INSERT NAME OF ENTITY’S EAP

Employees assigned to respond to alerts, alarms, or systems that are in place to warn others will receive additional training that includes:

* General and personal safety measures;
* Aggression and violence predicting factors;
* The assault cycle;
* Characteristics of aggressive and violent persons;
* Verbal intervention and de-escalation techniques and physical maneuvers to defuse and prevent violent behavior;
* Strategies to prevent physical harm;
* Appropriate and inappropriate use of restraining techniques and medications as chemical restraints in acordance with Title 22; and
* An opportunity to practice the taught maneuvers and techniques, including a debriefing session.

Training will occur:

* When the plan is first established;
* At time of hire or transfer;
* Annually for employees performing patient contact activities and their supervisors;
* Annually for employees assigned to respond to internal alerts, alarms, or systems;
* When new equipment or work practices are introduced; and
* When a new or previously unrecognized workplace violence hazard has been identified

Employees who receive training in a form other than live will have the opportunity to meet with a person knowledgeable on the plan within one business day of the training for interactive questions to be answered.

# Procedures

**Responding to Actual or Potential Workplace Violence Emergencies**

In the event of an actual or potential workplace violence emergency, INSERT TITLE OF DESIGNATED EMERGENCY COMMUNICATION CONTACT will alert employees of the presence, location, and nature of the workplace violence through the following methods:

* LIST METHODS OF NOTIFICATION: INTERCOM, TEXT MESSAGE, ACTIVATION OF ALARM

When any employee becomes aware of an actual or potential workplace violence emergency, they shall notify the DESIGNATED EMERGENCY COMMUNICATION CONTACT.

Employees shall implement the run, hide, fight protocols where appropriate. Evacuation routes and sheltering locations will be communicated to affected staff. If employees are not able to evacuate or shelter in place, they are authorized to take all reasonable actions necessary to fight or subdue an active shooter or assailant.

Employees can obtain help from staff assigned to respond to workplace violence emergencies, such as security personnel by calling the following number: INSERT SECURTY STAFF PHONE NUMBER. If no security personnel are located at the worksite, employees shall call 911 to report the incident and request assistance from law enforcement.

**Emergencies and Reporting a Crime**

For immediate assistance in an emergency that is not associated with a service call, contact emergency services or law enforcement by calling 911. For immediate assistance in an emergency associated with a service call in progress, follow internal procedures for requesting immediate back-up assistance by notifying local law enforcement. Employees should also notify their supervisor, manager, and the Administrator as soon as possible.

**Reporting Workplace Violence Concerns**

Employees who witness or experience *threats of violence* or *workplace violence* can report the incident through their chain of command or directly to Human Resources. Employees may report anonymously and without fear of reprisal by submitting the incident in writing through interoffice mail.

**Restraining Orders**

Employees or other personnel affiliated with the INSERT NAME OF ENTITY who have an active restraining order issued against another person that includes the workplace are encouraged to provide a copy of the restraining order to their supervisor and the Administrator. Supervisors who receive notification of a restraining order that includes the workplace will meet with the Administrator to decide what actions, if any, need to be initiated.

# Hazard Assessment

Workplace hazard assessments will include:

* An annual review of the past year’s workplace violence incidents; and
* Periodic physical security assessments.

The Workplace Violence Prevention Environmental Hazard Assessment & Control Checklist (Appendix B) can be used to assist with the security assessment. Inspections are performed according to the following schedule:

* Once a year;
* When the plan is implemented;
* When new, previously unidentified workplace violence/security hazards are recognized; and
* When workplace violence injuries or threats of injury occur.

#

# Hazard Correction

*Work practice controls* will be used to correct unsafe work conditions, practices, or procedures that threaten the security of employees.

*Work practice controls* are defined as procedures, rules, and staffing that are used to effectively reduce workplace violence hazards. Work practice controls may include, but are not limited to:

* Appropriate staffing levels;
* Provision of dedicated safety personnel (i.e. security guards);
* Employee training on workplace violence prevention methods; and
* Employee training on procedures to follow in the event of a workplace violence incident.

Corrective actions will be implemented in a timely manner based on the severity of the hazard, documented and dated.

# Post Incident Response and Investigation

Managers and supervisors will use the Workplace Violent Incident Log (Attachment A) to assist in documenting incidents and investigations.

These procedures will occur following an incident:

* Provide immediate medical care or first aid;
* Identify all employees involved in the incident;
* Offer staff individual trauma counseling resources;
* Conduct a debriefing with all affected staff;
* Determine if corrective measures developed under this plan were effectively implemented; solicit feedback from all personnel involved in the incident as to the cause of this incident and if injuries occurred, how injury could have been prevented; and
* Record the incident in the Workplace Violent Incident Log.

# Recordkeeping

* Records of workplace violence hazard identification, evaluation, and correction will be maintained for five years in accordance with the recordkeeping requirements of SB 553.
* Training for each employee, including the employee’s name, training dates, type of training, and training provider will be maintained for a minimum one year.
* Records of violent incidents (Workplace Violent Incident Log) will be maintained a minimum of five years at INSERT LOCATION.

# Annual Review

INSERT NAME OF ENTITY’s Workplace Violence Prevention Plan will be reviewed annually and updated as needed considering the following criteria:

* Staffing;
* Sufficiency of security systems;
* Job, equipment, and facility design and risks;
* Modifications or additions to tasks and procedures that affect plan implementation;
* Newly identified hazards;
* Prior year incidents;
* Identified deficiencies; and
* Feedback provided by employees and their authorized representatives.

**Appendix A**

**WORKPLACE VIOLENT INCIDENT LOG**

This form must be completed for every record of violence in the workplace

|  |  |  |
| --- | --- | --- |
| **Incident ID #\*:** | **Date and Time of Incident:** | **Department:** |
| **Specific Location of Incident:** |

*\* Do not identify employee by name, employee #, or SSI. The Incident ID must not reflect the employee’s identity)*

**Describe Incident** (Include additional pages if needed):

|  |
| --- |
|  |

**Assailant information:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [ ]  | Patient  | **[ ]**  | Client | [ ]  | Customer |
| **[ ]**  | Family or Friend of Patient | **[ ]**  | Family or Friend of Client | **[ ]**  | Family or Friend of Customer |
| [ ]  | Partner/Spouse of Victim  | [ ]  | Parent/Relative of Victim  | [ ]  | Co-Worker/Supervisor/Manager |
| [ ]  | Former Partner/Spouse of Victim | [ ]  | Animal  | [ ]  | Person In Custody |
| [ ]  | Robber/Burglar | [ ]  | Passenger | [ ]  | Stranger |
| [ ]  | Student | [ ]  | Other: |

**Circumstances at time of incident:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [ ]  | Employee Performing Normal Duties  | **[ ]**  | Poor Lighting | [ ]  | Employee Rushed |
| **[ ]**  | Employee Isolated or Alone  | **[ ]**  | High Crime Area | **[ ]**  | Low Staffing Level  |
| [ ]  | Unable to Get Help or Assistance  | [ ]  | Working in a Community Setting  | [ ]  | Unfamiliar or New Location |
| [ ]  | Other: |  |

**Location of Incident:**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [ ]  | Patient or Client Room  | **[ ]**  | Emergency or Urgent Care | [ ]  | Hallway |
| **[ ]**  | Waiting Room  | **[ ]**  | Restroom or Bathroom | **[ ]**  | Parking Lot or Outside Building |
| [ ]  | Personal Residence  | [ ]  | Breakroom  | [ ]  | Cafeteria  |
| [ ]  | Other: |

**Type of Incident (check as many apply):**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| [ ]  | Robbery  | **[ ]**  | Grabbed | [ ]  | Pushed |
| [ ]  | Verbal Threat or Harassment  | **[ ]**  | Kicked | [ ]  | Scratched |
| [ ]  | Sexual Threat, Harassment, or Assault  | **[ ]**  | Hit with an Object  | [ ]  | Bitten |
| [ ]  | Animal Attack | **[ ]**  | Shot (or Attempted)  | [ ]  | Slapped |
| **[ ]**  | Threat of Physical Force  | **[ ]**  | Bomb Threat | **[ ]**  | Hit with Fist |
| [ ]  | Threat of Use of Weapon or Object  | [ ]  | Vandalism (of Victim’s Property) | [ ]  | Knifed (or Attempted) |
| [ ]  | Assault With A Weapon or Object | [ ]  | Vandalism (of Employer’s Property)  | [ ]  | Arson |
| [ ]  | Robbery | [ ]  | Other: |

**Consequences of incident:**

|  |  |  |
| --- | --- | --- |
| Medical care provided? [ ]  Yes [ ]  No  | Law enforcement called? [ ]  Yes [ ]  No | Security contacted? [ ]  Yes [ ]  No  |
| Did anyone provide assistance to conclude the event? [ ]  Yes [ ]  No | Days lost from work (if any) |  |
| Actions taken by employer to protect employees from a continuing threat? [ ]  Yes [ ]  No |

**Completed by:**

|  |  |  |
| --- | --- | --- |
| Name: | Title: | Date: |
| Telephone: | Email: |
| Signature: | Telephone: |

**Appendix B**

**WORKPLACE VIOLENCE PREVENTION**

**ENVIRONMENTAL HAZARD ASSESSMENT & CONTROL CHECKLIST**

|  |  |
| --- | --- |
| **Assessed by:**  | **Title:** |
| **Location(s) Assessed:** |

This checklist is designed to evaluate the workplace and job tasks to help identify situations that may place employees at risk of workplace violence.

Step 1: Identify risk factors that may increase INSERT NAME OF ENTITY’s vulnerability to workplace violence events

Step 2: Conduct a workplace assessment to identify physical and process vulnerabilities

Step 3: Develop a corrective action plan with measurable goals and target dates

**STEP 1: IDENTIFY RISK FACTORS**

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** | **No** | **Risk Factors** | **Comments:** |
|  |  | Does staff have contact with the public? |  |
|  |  | Does staff exchange money with the public? |  |
|  |  | Does staff work alone? |  |
|  |  | Is the workplace often understaffed? |  |
|  |  | Is the workplace located in an area with a high crime rate? |  |
|  |  | Does staff enter areas with high crime rates? |  |
|  |  | Does staff have mobile workplaces? |  |
|  |  | Does staff perform public safety functions that might put them in conflict with others? |  |
|  |  | Does staff perform duties that may upset people? |  |
|  |  | Does staff work with people known or suspected to have a history of violence? |  |
|  |  | Do any employees have a history of threats of violence?  |  |

**STEP 2: CONDUCT ASSESSMENT**

**Building Interior**

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** | **No** | **Building Interior** | **Comments:** |
|  |  | Are employee ID badges required? |  |
|  |  | Are employees notified of past workplace violence events? |  |
|  |  | Are trained security personnel or staff accessible to employees? |  |
|  |  | Are bullet resistant windows or similar barriers used when money is exchanged with the public? |  |
|  |  | Are areas where money is exchanged visible to others? |  |
|  |  | Is a limited amount of cash kept on hand with appropriate signage? |  |
|  |  | Could someone hear an employee who called for help? |  |
|  |  | Do employees have a clear line of sight of visitors in waiting areas? |  |
|  |  | Do areas used for client or visitor interviews allow co-employees to observe problems? |  |
|  |  | Are waiting and work areas free of objects that could be used as weapons? |  |
|  |  | Is furniture in waiting and work areas arranged to prevent employee entrapment? |  |
|  |  | Are clients and visitors clearly informed how to use the department services so they will not become frustrated? |  |
|  |  | Are private, locked restrooms available for employees? |  |
|  |  | Do employees have a secure place to store personal belonging? |  |

**Building Exterior**

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** | **No** | **Building Exterior** | **Comments:** |
|  |  | Do employees feel safe walking to and from the workplace? |  |
|  |  | Are the entrances to the building clearly visible from the street? |  |
|  |  | Is the area surrounding the building free of bushes or other hiding places? |  |
|  |  | Are security personnel provided outside the building? |  |
|  |  | Is video surveillance provided outside the building? |  |
|  |  | Is there enough lighting to see clearly? |  |
|  |  | Are all exterior walkways visible to security personnel? |  |

**Parking Area**

|  |  |  |  |
| --- | --- | --- | --- |
| **Yes** | **No** | **Parking Area** | **Comments:** |
|  |  | Is there a nearby parking lot reserved for staff? |  |
|  |  | Is the parking lot attended and secure? |  |
|  |  | Is the parking lot free of blind spots and landscape trimmed to prevent hiding places? |  |
|  |  | Is there enough lighting to see clearly? |  |
|  |  | Are security escorts available? |  |

**Security Measures**

| **Yes** | **No** | **Security Measures** | **Comments:** |
| --- | --- | --- | --- |
|  |  | Is there a response plan for workplace violence emergencies? |  |
|  |  | Are there physical barriers? (between staff and clients) |  |
|  |  | Are there security cameras? |  |
|  |  | Are there panic buttons? |  |
|  |  | Are there alarm systems? |  |
|  |  | Are there metal detectors? |  |
|  |  | Are there X-ray machines? |  |
|  |  | Do doors lock? |  |
|  |  | Does internal telephone system activate emergency assistance? |  |
|  |  | Are telephones with an outside line programed for 911? |  |
|  |  | Are there two-way radios, pagers, or cell phones? |  |
|  |  | Are there security mirrors? |  |
|  |  | Is there a secured entry? |  |
|  |  | Are there personal alarm devices? |  |
|  |  | Are there “drop safes” to limit available cash? |  |
|  |  | Are pharmaceuticals secured? |  |
|  |  | Is there a system to alert staff of the presence, location, and nature of a security threat? |  |
|  |  | Is there a system in place for testing security measures? |  |

**STEP 3: DEVELOP CORRECTIVE ACTION PLAN**

*(Action Plan Types: BI – Building Interior, BE – Building Exterior, PA – Parking Area, SM – Security Measure)*

| **Type** | **Action Item** | **Person(s) Responsible** | **Target Date** | **Status** | **Comments** |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |